

EXECUTIVE COMPENSATION DATA (5 OFFICER FORM)

Unique Entity ID Number: _____ CCR/Cage Number: _____

Grant Applicant Name: _____

Name of Project: _____

Federal Reporting Contact Information:

Name: _____ Phone: _____ Email: _____

Requirements to report five most highly compensated officers:

When all three of the following conditions are met in the previous fiscal year, you must report the five most highly compensated officers of the entity.

1. The applicant received 80 percent or more of its annual gross revenues in Federal awards, and
2. The applicant received \$25,000,000 or more in annual gross revenue from Federal awards, and
3. The public does not have access to information about the compensation of the senior executives of the entity through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. §78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986 (26 U.S.C. §6104).

Check either box A or B below and complete the requested information.

A. I certify that in the preceding fiscal year, _____ , _____
(Fiscal year) (Grant applicant name)

- 1. DID NOT receive 80 percent or more of its annual gross revenues in Federal awards, or
- 2. DID NOT receive \$25,000,000 or more in annual gross revenue from Federal awards, or
- 3. The public does not have access to information about the compensation of the senior executives of the entity through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. §78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986 (26 U.S.C. §6104).

And is therefore not required to report the names and compensation of the five most highly compensation officers.

Signature Title Date

B. The five most highly compensated officers of _____ are:

NAME	COMPENSATION AMOUNT ¹

¹Compensation includes: (1) Salary and bonus. (2) Awards of stock, stock options, and stock appreciation rights. Use the dollar amount recognized for financial statement reporting purposes with respect to the fiscal year in accordance with FAS 123R. (3) Earnings for services under non-equity incentive plans. Does not include group life, health, hospitalization or medical reimbursement plans that do not discriminate in favor of executives, and are available generally to all salaried employees. (4) Change in present value of defined benefit and actuarial pension plans. (5) Above-market earnings on deferred compensation that is not tax-qualified. (6) Other compensation.