

State of Illinois
Water Pollution Control Loan Program
Draft Intended Use Plan
Federal Fiscal Year 2013
October 1, 2012 – September 30, 2013

A. Introduction

This document, the initial draft Intended Use Plan (IUP) for federal fiscal year (FY) 2013, is primarily intended to provide loan applicants, potential loan applicants and the general public with information and direction regarding the intended uses of the State Revolving Fund (SRF) and the Water Pollution Control Loan Program (WPCLP) in FY 2013. An update to this draft IUP will be prepared and published on the Agency website; after information on federal appropriations and final capitalization grant requirements for FY 2013 becomes known. This draft IUP has been designed and organized to partially fulfill the requirements of Section 606(c) of Title VI of the Water Quality Act of 1987, which amends the Federal Clean Water Act (CWA) and is a prerequisite for the award of a federal grant to the Illinois Environmental Protection Agency (IEPA) for capitalization of the State Revolving Fund and the WPCLP. This draft IUP will be updated and finalized after information on federal appropriations and capitalization grant requirements for FY 2013 becomes known.

In essence, this draft IUP is submitted as a planning document in outlining the anticipated and intended uses of the SRF and the WPCLP in federal FY 2013, extending from October 1, 2012 through September 30, 2013. The FY 2013 program will be managed under a traditional federal fiscal year schedule (October 1 through September 30) and will offer unique loan terms based on anticipated resources and requirements as outlined below.

B. Program Characteristics

1. Water Pollution Control Loan Program – The Illinois program will continue to consist of loans to units of local government for construction of new wastewater collection and treatment facilities, upgrade and expansion of existing facilities, replacement, upgrade or extension of collection systems and interceptors, and separation or upgrade of combined sewer systems to eliminate surcharges, overflows or flooding. In FY 2013, the Illinois program will continue to entertain projects and components of projects necessary to fulfill federal requirements for a Green Project Reserve (GPR). Despite uncertainty regarding the federal GPR requirement, the Agency is taking steps to institutionalize certain green infrastructure practices and policies in the Illinois SRF programs.

Monies available will be managed under Loan Rules (35 Ill. Adm. Code Part 365 “Procedures for Issuing Loans from the Water Pollution Control Loan Program” – effective November 8, 2010) established to govern the distribution of those funds. For FY 2013, those rules provide for a fixed loan rate that shall be established at one-half the market interest rate. Specifically, the fixed loan rate is defined by rule as one-half the mean interest rate of the 20 General Obligation Bond Buyer Index, from October 1 to September 30, in the preceding fiscal year, rounded to the nearest .01%. The rate is established annually, and based on bond rates through the first eleven months of federal

FY 2012, the fixed loan rate for FY 2013 will be approximately 1.95%. The fixed loan rate is a simple, annual rate, and will be finalized for FY 2013 when final Index numbers become available. Loan awards will have a maximum term of 20 years from the initiation of operation, with initial repayments of principal to commence within one year of the initiation of operation as determined by the terms of each Loan Agreement.

Please note that the Agency does not anticipate that principal forgiveness terms will be available for WPCLP loans in FY 2013. Principal forgiveness, first offered under the American Recovery and Reinvestment Act of 2009 (ARRA) programs and later extended to varying degrees in fiscal years 2010 – 2012, is a benefit that is directly tied to specific federal action, Congressional action, on United States Environmental Protection Agency (USEPA) appropriations. The additional subsidization requirement for Illinois, if in fact put in place for FY 2013, is expected to be extremely limiting in its application. With a currently forecast “ceiling” of just \$2.3 million in Illinois, the continued use of principal forgiveness terms to supplement interest rates in an “across-the-board” approach similar to principal forgiveness strategies previously employed is simply infeasible. If principal forgiveness is permitted/required for FY 2013, the Agency expects to target those resources to projects classified as green infrastructure under the USEPA guidance on the Green Project Reserve (GPR). The Illinois Green Infrastructure Grants (IGIG) program, supported initially with two \$5.0 million investments of Loan Support proceeds, is an effort intended to “seed” green infrastructure efforts in Illinois by offering an additional funding option for communities seeking financial assistance for green projects, with a particular emphasis on urban stormwater projects and solutions. The Agency will continue with its ongoing effort to institutionalize green infrastructure in the existing SRF programs, including the WPCLP, and expects this effort to add valuable perspective to the evaluation of program alternatives.

2. Loan Support Program – The State of Illinois, via the IEPA, has operated and maintained a Loan Support Program (LSP) outside the Federal SRF since 1996. The LSP is financed by the loan support portion of the fixed loan rate (not more than 50%), with that portion currently established at 50% of the fixed loan rate in the Water Pollution Control Loan Program (WPCLP). To date, the LSP has been used primarily to finance the reasonable costs incurred by the IEPA in managing the Water Revolving Fund, which is the financial mechanism used in administering Illinois’ SRF programs.

In each of fiscal years 2011 and 2012, the State of Illinois, via the IEPA, has targeted a portion of loan support program resources to a new initiative, the Illinois Green Infrastructure Grants program that is discussed above in the context of WPCLP loan terms and the intended use of resources restricted for use as an additional subsidy (principal forgiveness). The IEPA is continuing its ongoing effort to institutionalize green infrastructure in the existing SRF programs, including the WPCLP, and for FY 2013 is committed to the ongoing support of the IGIG program through the application of SRF resources restricted for use as an additional subsidy (principal forgiveness), and potentially through the commitment of additional LSP resources to this program.

Receipts for the Loan Support Program in the most recent full State fiscal year (year ending 6/30/12) totaled \$21.0 million, with operational outlays totaling \$9.7 million dedicated primarily to activities in support of the SRF programs, including compliance, permitting and field operations activities in both the Public Water Supply and the Water

Pollution Control divisions of the Agency. In addition, LSP funding totaling \$9.9 million was utilized in FY2012, via fund transfer, as additional subsidization for loan commitments initially executed via the WPCLP in FY 2011. The current balance in the Loan Support Program (as of 6/30/12) is \$35.9 million. The LSP is maintained as a single entity in Illinois statute, but the IEPA has accounted separately for funds attributable to WPCLP and PWSLP loans, and the \$35.9 million balance reflects the accumulation of monies earned through both the WPCLP (\$34.1 million) and PWSLP (\$1.8 million) programs through June 30, 2012.

3. State Match – In accordance with the USEPA requirements, the State must deposit in the Fund an amount equal to at least 20% of the total amount of all capitalization grant agreements on or before the draw-down of cash from the letter of credit. For FY 2013 (prospective), the match requirement of \$10,227,000 will be met by State appropriations totaling \$110.4 million that were originally appropriated for this purpose in Public Act 96-0956 (effective July 1, 2010), with authorization for the sale of State General Obligation Bonds provided in Public Act 96-36 (effective July 13, 2009) to support those appropriations.
4. Leveraged Bond Proceeds – The resources of the existing loan program may be utilized as security for the sale of bonds, the proceeds from which would be deposited into the Fund to supplement State and federal capitalization funds and repayment funds or to serve as the source for State matching funds for federal capitalization grants. The Agency reserves the right to participate in a leveraged bond sale that would impact program resources in FY 2013.

C. **Criteria and Method for Distribution of Funds**

1. Financial Assistance Limitation – In recognition of continuing project demand, WPCLP program rules established to govern the distribution of funding amounts will initially establish by Loan Rule (Section 365.470), a restriction on the amount of WPCLP financial assistance provided to any single entity in the maximum amount of \$25,000,000, with the sole exception being the Metropolitan Water Reclamation District of Greater Chicago (MWRDGC), which will be initially limited to a maximum amount of \$75,000,000. This division reflects both the intent of the State to fund as many worthwhile projects as practicable from limited available resources, and to recognize the importance of the service population and service area of the MWRDGC.

Notwithstanding financial assistance limitations imposed under program rules, project costs in excess of the loan limitations may be eligible for loan assistance under the WPCLP, provided that funds are available in future fiscal years. In those instances where total project needs exceed the limitations of available funding, applicants will be encouraged to break a project into multiple segments for phased loan assistance, constructing first those project elements most significant in attaining or maintaining compliance objectives and reserving eligibility for future years as loan funds become subsequently available.

2. Project Priority List (PPL) Development – The IEPA has developed and will maintain a Project Priority List (Attachment A) that identifies eligible applicants for assistance under

this Intended Use Plan and is based on and includes all projects that submitted pre-applications between April 1, 2011 and March 31, 2012. Those projects that achieved Facilities Planning approval in the WPCLP prior to the date of July 1, 2012 have been ranked and scored in accordance with the provisions of 35 Ill. Adm. Code Part 366, "Procedures and Requirements for Determining Loan Priorities for Municipal Wastewater Treatment Works". Projects were scored in four project categories, including: Service Continuation Projects that improve, upgrade, rehabilitate, renovate, and/or replace wastewater treatment works; Service Expansion Projects that propose to expand the capacity of existing wastewater treatment works; New Service Projects which will provide wastewater collection, transportation and treatment for an unsewered local government unit; and Combined Sewer Service Projects involving construction in a combined sewer service area to reduce or eliminate basement flooding.

All other projects for which a pre-application was submitted between April 1, 2011 and March 31, 2012, and for which Facilities Planning approval has not been achieved, have been added to the PPL by virtue of limited priority scoring and are listed on the PPL in alphabetical order. All projects in this category are ranked equally in the project priority system and will be afforded full priority scoring and ranked according to that score upon achieving approval of a Facilities Plan.

A subset of the PPL is incorporated as the IUP Reserved Funding List (Attachment B) for purposes of this Intended Use Plan. Projects included on the IUP Reserved Funding List must have a construction start date on or before March 31, 2013 and given that proviso are simply those that have the highest priority rank relative to other eligible projects within the limits of available funds allocated to each project class. In accordance with the provisions of 35 Ill. Adm. Code Part 365, "Procedures for Issuing Loans from the Water Pollution Control Loan Program", loan funds will be reserved for projects included on the IUP Reserved Funding List until they either complete loan applications or demonstrate that they will be unable to proceed prior to March 31, 2013. In the latter case, a project for which funding was originally reserved may be bypassed for funding. A project that is bypassed does not lose its position or eligibility for funding from the PPL; however funds for a bypassed project are no longer held in reserve and may thereafter, during the bypass funding period (April 1, 2013 through September 30, 2013), be awarded to any other project on the PPL that completes a loan application. Projects will be funded in the order in which applications are completed.

Please note that many of the projects for which funding is initially reserved for FY 2013, by virtue of their inclusion on the IUP Reserved Funding List (Attachment B), are working toward funding in the FY 2012 WPCLP program. Funding reserved for projects that achieve FY 2012 WPCLP loans will be released from reserve and available for other projects on the FY 2013 Project Priority List that complete loan application requirements. Funds may be awarded to any project on the Project Priority List that accomplishes a full and complete loan application, and projects will be funded in the order in which applications are completed, with provisions included in 35 Ill. Adm. Code Part 366 "Procedures and Requirements for Determining Loan Priorities for Municipal Wastewater Treatment Works" considered to the maximum extent practicable in cases where funding availability may be limited.

Per State rule, a project may be added to the Project Priority List (Attachment A) at any time during the year upon obtaining Agency approval of its Facilities Plan, provided a pre-application has been submitted for the project. Eligible projects with approved Facilities Plans will be scored and ranked for priority and added to the Project Priority List from time to time. These belated additions will be eligible for assistance under the WPCLP and funds may be awarded to any project on the Project Priority List that accomplishes a full and complete loan application, subject to the availability of funds. Provisions included in 35 Ill. Adm. Code Part 366 will be considered to the maximum extent practicable in cases where funding availability may be limited.

3. Funding Allocations – Loan funds available during the capitalization period will be divided between the service area of the Metropolitan Water Reclamation District of Greater Chicago (MWRDGC) and the geographical balance of the State. In recognition of the service population of the MWRDGC and its service area, which consists of an 872 square-mile area in northeastern Illinois, including virtually all of Cook County, the MWRDGC service area is by administrative rule (35 Ill. Adm. Code 366.105) entitled to an equal division (50%) of “loan funds available from State and federal appropriations during the capitalization period”, relative to the geographical balance of the State. In recent years, the unique demands of the ARRA and successor programs have made such a division impracticable; however this IUP directs a that proportionate share of funding to the MWRDGC and the MWRDGC service area in recognition of that rule. \$100 million, (50.0%), of the total of \$200 million in projected available FY 2013 loan funds is directed to the MWRDGC service area by this draft IUP, with this proportion reflecting both the intent of the State to recognize the impact of the MWRDGC on the State wastewater system and environmental policy, and to fund as many worthwhile projects as practicable from limited available resources.

These funding allocations are targets, with project readiness to proceed taking precedence during the bypass funding timeframe (April 1, 2013 through September 30, 2013) each year. The available funds for development of the IUP Reserved Funding list have been allocated to the four classes of projects in both the MWRDGC service area and the remainder of the State, creating a possible eight project classes. The amount of funding allocated to any particular class is in proportion to the relative needs of that project class as reflected by the percentage of unfunded project costs in each class of IUP eligible projects. Attachment C shows the allocation formula for FY 2013.

4. Letters of Commitment – When an application for loan assistance is completed to the satisfaction of the IEPA, the IEPA may issue a Letter of Commitment to reserve existing or anticipated available funds for a project pending completion of the bidding process by the loan applicant. Letters of Commitment for projects funded from the Project Priority List shall not extend beyond August 15, 2013.
5. Binding Commitments and Quarterly Payment Schedule – Attachment D indicates that the IEPA will need the letter of credit ceiling raised by USEPA based on the schedule for binding commitments.

D. Available Loan Resources

The FY 2013 IUP Reserved Funding List is based on a projected \$1.175 billion national allocation, based on the President's recommended budget, to the Clean Water State Revolving Fund. Based on the current allocation formula, Illinois' share of that national allocation would be approximately \$51,135,000, with a 20% State match requirement of \$10,227,000 making the total of new capitalization funds available \$61,362,000. In addition, Illinois has repayment monies of \$149,200,000 available for obligation. The total of available loan dollars for FY 2013 is \$210,562,000 based on those preliminary allocations.

In accordance with the Agency's standard practice, approximately 5% of those funds (\$10,562,000) will be initially reserved for cost increases and amendments, leaving a total of \$200,000,000 available for new Intended Use Plan projects. A summary of available FY 2013 funding is as follows:

Anticipated Funding Available for FY 2013 WPCLP Projects

FY2013 Capitalization Funds *	\$51,135,000
FY2013 State Match*	\$10,227,000
FY2013 Loan Repayments	<u>\$149,200,000</u>
SUBTOTAL	\$210,562,000
Less 5%: For Cost Increases on Subtotal*	<u>(\$10,562,000)</u>
TOTAL	\$200,000,000

* Prospective/Rounded

Administrative funding for the program will be withheld from Fund equity, and a total of \$2,045,400 (estimated) will be banked for possible future use. The FY 2013 State budgeted amount for WPCLP administration is \$3,139,600, which will be supported by SRF fund resources (equity). Administrative costs are annually included in calculations for future available loan repayment funding.

The demand for loan funds in FY 2013 will again exceed available funding and the IEPA has established initial restrictions by program rule on the amount of financial assistance available to any single entity. A maximum amount of \$25,000,000 will initially be utilized, with the sole exception being the \$75,000,000 maximum amount available for the MWRDGC. These restrictions reflect the intent of the State to fund as many worthwhile projects as practicable from the limited available resources. In those instances where total project needs exceed the limitation, applicants will be encouraged to break a project into multiple segments for phased loan assistance, constructing first those project elements most significant in attaining or maintaining compliance objectives.

E. IUP Requirements Under the Clean Water Act

This draft IUP has been designed and organized to partially fulfill the requirements of Section 606(c) of Title VI of the Water Quality Act of 1987, which amends the Federal Clean Water Act (CWA) and is a prerequisite for the award of a federal grant to the

Illinois Environmental Protection Agency (IEPA) for capitalization of the State Revolving Fund and the Water Pollution Control Loan Program (WPCLP). This draft IUP will be updated and finalized after information on federal appropriations (FY 2013) and capitalization grant requirements for FY 2013 becomes known. Those IUP requirements that are satisfied under this draft IUP include the following:

1. A list of the projects proposed for construction on the State Priority List, including a description of the project and the expected terms of financial assistance.
2. A description of the short and long term goals associated with the State Revolving Fund and the WPCLP (see below).
3. Information on the types of financial activities to be supported by the WPCLP, including a description of project classes, terms of financial assistance and the units of local government expected to be served.
4. A description of the financial status of state loan funds, along with assurances and specific proposals for meeting certain requirements of the capitalization grant agreements (see below).
5. The criteria and methods established for the distribution of loan funds.

F. Long Term Goals (5-Year Timeframe)

1. To provide a stable and perpetual financing source for POTWs, collection systems and other eligible projects in the State and to commit all available loan resources to those eligible loan applicants;
2. To provide financing for municipal wastewater treatment facilities to attain and maintain compliance with State and federal wastewater treatment requirements;
3. To provide financial assistance to all types of projects eligible under the Clean Water Act and the State enabling legislation;
4. To provide financial assistance to local government units at interest rates significantly below those offered on the open market;
5. To maintain the integrity of the Water Revolving Fund;
6. To continue the development of the Water Revolving Fund as a major financial vehicle for achieving compliance with State and federal law;
7. To explore the expansion of eligibility to address issues of water efficiency, energy efficiency, green infrastructure and non-point source pollution projects and to develop new eligibility requirements to accommodate expanded eligibilities in the base SRF program; and

8. To contribute to the long-term recovery of the economy by creating and preserving sustainable jobs in communities across the State.

G. Short Term Goals (FY2013)

1. To provide funding for as many eligible projects as possible, to the extent that applications are completed and funds are available;
2. To commit all available loan resources to eligible loan applicants;
3. To provide financial assistance for projects necessary to achieve or maintain compliance;
4. To continue support for projects or project components focused on “green infrastructure, water or energy efficiency improvements or other environmentally innovative activities”, and to commit not less than 20% of capitalization grant funds provided to projects meeting the definitions developed there under;
5. To manage a program that provides applicants with a streamlined approach to financing wastewater treatment works projects; and
6. To further the administrative capabilities of the WPCLP, including conversion of the current computer system from D-Base to a Windows based system.

H. Assurance of Specific Proposals

Pursuant to Section 606(c)(4) of the Clean Water Act, the IEPA has provided the necessary assurances and certifications as part of the official Operating Agreement between the IEPA and the USEPA.

The IEPA hereby certifies that:

1. The IEPA will enter into binding commitments equal to at least 120% of the amount of each quarterly grant payment within one year after receipt of the payment;
2. The IEPA will expend all monies in the Fund in an expeditious and timely manner;
3. The IEPA has met first use requirements;
4. The IEPA will perform an environmental review of all loan projects in accordance with the Illinois State Environmental Review Procedures as contained in the Operating Agreement and in the Loan Program Rules established to govern eligibility for funds; and
5. The IEPA will comply with all statutory requirements of the Clean Water Act, the enabling legislation and other requirements promulgated through guidance or regulations issued by the USEPA.

The IEPA hereby provides additional assurance of the following issues that may be mandated or recommended by the capitalization grant agreement with the USEPA:

1. Green Project Reserve – The IEPA will maintain its commitment to green infrastructure in FY 2013, and will continue to attempt to utilize not less than 20% of capitalization grant funds to provide continued support for projects or project components focused on “green infrastructure, water or energy efficiency improvements or other environmentally innovative activities”. Despite uncertainty regarding the federal GPR requirement, the Agency is taking steps to institutionalize certain green infrastructure practices and policies in the Illinois SRF programs, and will attempt to commit not less than 20% of the capitalization grant amount to projects meeting the definitions developed under guidance provided by the USEPA. The IEPA will evaluate projects on the Project Priority List (Attachment A), and other projects that may gain eligibility for inclusion on the Project Priority List to determine which ones qualify, in whole or in part, to be included in the Green Project Reserve. This will include the evaluation of stand-alone project applications for program eligibility.
2. Additional Subsidization – The IEPA will comply with all statutory requirements of the Clean Water Act and the enabling legislation, and with any other requirements promulgated through guidance or regulations issued by the USEPA including the “additional subsidy” requirement that may be contained in the FY 2013 Capitalization Grant Agreement. The Agency expects the currently forecast “ceiling” of just \$2.3 million for additional subsidies in Illinois to make impractical the continued use of principal forgiveness terms in an “across-the-board” strategy similar to the programs employed in previous fiscal years. If principal forgiveness is, in fact, permitted/required for FY 2013, the Agency expects to target those resources to projects classified as green infrastructure under the USEPA guidance on the Green Project Reserve. The Illinois Green Infrastructure Grants program, supported initially with two \$5.0 million investments of Loan Support proceeds, is an effort intended to “seed” green infrastructure efforts in Illinois by offering an additional funding option for communities seeking financial assistance for green projects, with a particular emphasis on urban stormwater projects and solutions. The Agency will continue with its ongoing effort to institutionalize green infrastructure in the existing SRF programs, including the WPCLP, and expects this effort to add valuable perspective to the evaluation of program alternatives.

I. CWSRF Benefits

The IEPA will report the data required by the USEPA into the Clean Water Benefits Reporting System for each funded project.

J. Reporting Requirements

The IEPA will provide the USEPA on an annual basis with information on units of local government that receive loans in accordance with Title VI requirements. In addition, the IEPA will comply with the required frequency of reporting requirements included in additional guidance that may be provided by the USEPA.

K. Administrative Costs

As noted previously, \$2,045,400 will be reserved from Fund equity for program administration. This amount is based on and equal to 4% of the projected federal capitalization grant for FY 2013.

L. Public Review and Comment on the Intended Use Plan

This draft Intended Use Plan and the accompanying Project Priority List (Attachment A) and IUP Reserved Funding List (Attachment B) are made available for public comment through a public hearing scheduled for August 27, 2012 followed by a 30 day comment period. This information is also available on the IEPA website. The IEPA website can be accessed through the following link:

<http://www.epa.state.il.us/water/financial-assistance/state-revolving-fund.html>

List of Attachments

- Attachment A - Project Priority List
- Attachment B - IUP Reserved Funding List in Rank Order By Project Classes
- Attachment C - FY 2013 Allocation of Loan Funds
- Attachment D - Source of Funds for FY 2013
- Attachment E - Glossary of Wastewater Abbreviations for Project Priority List

FY 2013 CWSRF Project Priority List

Projects with Planning Approved by July 1, 2012 fully scored and ranked

Projects submitting pre-applications between April 1, 2011 and March 31, 2012 without Planning Approval ranked equally below

#	Applicant	L17#	New/ Renew	Date Rec'd	Project Description	NIPDES Permit #	Project Cost	Const. Start Date	Est. Date
CLASS A PROJECTS WITH FACILITIES PLANNING APPROVAL - SERVICE CONTINUATION									
1	Kankakee River Metropolitan Agency	4836	Renew	2/6/12	STP Upgrade - Ph 1A	21784	\$18,274,000	09/01/12	
2	Kankakee River Metropolitan Agency	4868	New	2/6/12	STP Upgrade - Ph 1B	21784	\$17,184,000	09/01/12	
3	Kankakee River Metropolitan Agency	4869	New	2/6/12	STP Upgrade - Ph 1C	21784	\$19,092,000	09/01/13	
4	Tampico	4619	Renew	3/26/12	STP Aeration/Market St. L.S.	22381	\$584,000	06/19/12	
5	MWR/DGC	4709	Renew	3/27/12	Upper Des Plaines Interceptor 20B Rehab	47741	\$5,400,000	06/01/13	
6	Salt Creek SD	4840	Renew	3/16/12	Ph 2 STP Upgrade	N/A	\$4,033,000	03/12/15	
7	Plainfield	3117	Renew	3/29/12	Aux Sable Cr. P.S./F.M.	74373	\$2,355,000	05/01/13	
8	Bartlett	4695	Renew	3/22/12	Rehab Influent P.S./Sludge Dewatering	27618	\$2,500,000	08/01/12	
9	Buffalo Grove	4103	Renew	3/29/12	Old STP/Cambridge L.S. Rehab	28070	\$531,000	08/01/12	
10	MWR/DGC	2964	Renew	3/27/12	Ph 2 39th St. Conduit	28053	\$38,000,000	09/01/14	
11	MWR/DGC	4559	Renew	3/27/12	Stickney WWTP - Sludge Thickening Facilities - Final Funding	28053	\$16,600,000	started	
12	MWR/DGC	4621	Renew	3/27/12	Des Plaines River Interceptor Rehab	28053	\$10,614,000	08/09/12	
13	MWR/DGC	4620	Renew	3/27/12	Northside Sludge Pipeline Replacement	28088	\$18,000,000	11/15/12	
14	MWR/DGC	4710	Renew	3/27/12	Northside 8 Rehab	28088	\$8,520,000	07/12/12	
15	MWR/DGC	4712	Renew	3/27/12	Battery D/Aeration Tank #8	28088	\$8,300,000	08/09/12	
16	Shawneetown	3326	Renew	03/16/12	Upgrade 5 L.S.'s	21776	\$600,000	07/01/12	
17	Sesser	4842	Renew	8/29/11	Sewer Replacement Ph 2	31020	\$430,000	09/01/13	
18	Cortland	3796	Renew	3/26/12	Airport Irrigation & North Ave LS Upgrade	N/A	\$1,350,000	08/27/12	
19	Oak Forest	3434	Renew	3/29/12	2013 Sewer Rehab	28061	\$2,000,000	06/29/13	
CLASS B PROJECTS WITH FACILITIES PLANNING APPROVAL - SERVICE EXPANSION									
1	Davis Junction	3106	Renew	3/19/12	Rte. 72 F.M./L.S.	71064	\$3,660,000	12/11/12	
2	Champaign	4700	Renew	2/23/12	Spartan Court Sewers	31526	\$200,000	03/01/13	
3	Bearstown Sanitary District	4847	Renew	6/15/11	Phase 2 - STP Expansion	25135	\$2,000,000	10/15/11	
4	Yorkville-Bristol SD	2760	Renew	2/21/12	STP Expansion	36412	\$25,000,000	02/01/13	
5	Glen Ellyn	2895	Renew	3/28/12	Hill Ave. Sewer Ext.	21547	\$4,000,000	09/01/12	
6	Stitch	4143	Renew	3/23/12	Subdivision/Metro Link Sewer Ext.	21638	\$5,165,000	09/01/12	
7	Freeburg	3647	Renew	3/16/12	Sewer Ext./L.S./F.M./East STP Upgrade	20753	\$1,486,300	08/01/12	
CLASS D PROJECTS WITH FACILITIES PLANNING APPROVAL - COMBINED SEWER SERVICE									
1	Havana	2291	Renew	3/29/12	Combined Sewer Separation/LTCP	23388	\$5,000,000	07/01/12	
2	Taylorville SD	4792	Renew	3/28/12	Southeast/Elm St. CSO Facility	31356	\$4,154,200	04/01/15	
3	Riverdale	3997	Renew	3/30/12	Northeast Sewer Separation	N/A	\$6,901,989	08/14/11	
4	Rock Island	4900	New	3/19/12	LTCP Phase 3 Wet Weather WWTP	30783	\$9,671,016	Started	
5	Belleville	4909	New	3/21/12	Relief Sewers/L.S./F.M. (CSO)	21673	\$13,000,000	09/01/13	
6	Chicago	4863	New	1/12/12	2012 Sewer Lining	28088	\$15,000,000	04/01/11	
7	LeRoy	3030	Renew	2/29/12	Final CS Separation	21563	\$1,600,000	01/02/13	
8	Evanston	4430	Renew	3/29/12	Emerson/Davis St. Sewer Lining	M580002	\$3,756,775	07/24/12	

ATTACHMENT A

OTHER PROJECTS: PRE-APPLICATIONS SUBMITTED WITH PLANNING APPROVAL PENDING										
1	Abingdon	4877	New	1/12/12	Sewer Replacement on Martin/Lower Washington St. & Lining Sewers	20761	\$1,533,000	10/01/12		
2	Alhambra	2905	Renew	3/10/12	STP Expansion	G580004	\$200,000	07/01/13		
3	Alton	2505	Renew	5/5/11	East Side Interceptor Ext.	27464	\$650,000	06/01/12		
4	Alton	4857	New	11/10/11	LTCR Ph 1B State St. Area	27464	\$1,447,000	05/01/15		
5	Alton	4858	New	11/10/11	LTCR Ph C Plaza Valley Area	27464	\$6,173,350	05/01/17		
6	Alton	4859	New	11/10/11	LTCR Ph 1D Shields Valley Area	27464	\$1,190,700	05/01/19		
7	Alton	4860	New	11/10/11	LTCR Ph 1E Turner Tract Area	27464	\$1,921,350	05/01/21		
8	Alton	4738	Renew	3/28/12	New 42-inch Outfall	27464	\$2,160,000	02/01/13		
9	Alton	2920	Renew	3/30/12	Fosterburg Rd. Trunk Sewer	27464	\$825,000	09/01/12		
10	Beecher	2448	Renew	3/19/12	STP Expansion	49522	\$6,000,000	04/01/14		
11	Bensenville	4739	Renew	3/29/12	STP Upgrade	21849	\$15,000,000	09/01/13		
12	Bethalto	3283	Renew	3/19/12	Replace Moreland Rd. Interceptor	27464	\$3,500,000	12/01/14		
13	Bloomingtondale	2536	Renew	3/20/12	Ph 3 STP Expansion	21130	\$9,350,000	07/01/16		
14	Bloomingtondale	2537	Renew	3/20/12	Ph 4 STP Expansion	21130	\$8,560,000	08/01/21		
15	Bloomingtondale	4696	Renew	3/20/12	Ph 2B/STP Expansion	21130	\$7,980,000	10/01/13		
16	Bloomington/Normal WRD	3118	Renew	3/9/12	Reliner/Replace 51" Segmental Block Sewer	N/A	\$2,000,000	08/01/12		
17	Bloomington/Normal WRD	4698	Renew	3/9/12	Highland Pk. Sewer Lining	N/A	\$400,000	07/01/12		
18	Bridgeview	4741	Renew	3/31/12	Manhole Rehab	28061	\$1,000,000	08/01/12		
19	Brighton	4699	Renew	3/23/12	Major Sewer Rehab	24457	\$1,700,000	05/01/12		
20	Canton	4635	Renew	3/29/12	West WWTP Rehab	27839	\$22,000,000	04/01/13		
21	Calin	4921	New	3/27/12	STP Upgrade/Wabster St. Sewer Replace	23108	\$550,000	08/01/12		
22	Chandlerville	2829	Renew	3/21/12	New STP/Collection	N/A	\$5,000,000	07/31/14		
23	Chicago Heights	4109	Renew	3/31/12	Manhole Rehab	27723	\$6,300,000	12/01/12		
24	Clinton	4850	New	10/13/11	2012 Sewer Replace/Rehab	23612	\$4,896,140	04/15/12		
25	Colchester	4742	Renew	3/28/12	Sewer Rehab/Eliminate Overflow	2817	\$1,379,000	03/15/13		
26	Colchester	2452	Renew	3/28/12	STP Upgrade/Lagoon Repairs	2817	\$2,635,000	03/15/14		
27	Country Club Hills	4743	Renew	3/29/12	Marycrest L.S. Upgrade	ILR400177	\$610,000	08/15/12		
28	Crest Hill	4730	Renew	3/26/12	West STP Upgrade	21121	\$14,300,000	06/01/13		
29	Curran	4953	New	3/31/12	New Collection	21971	\$2,100,000	04/01/13		
30	Decatur	4873	New	3/9/12	Lake Shore Dr. Sewer	28321	\$11,100,000	07/01/13		
31	Decatur SD	4876	New	3/5/12	Primary Digester Upgrade	28321	\$3,903,100	12/01/12		
32	Dekalb SD	4915	New	3/22/12	Meadow Trails L.S. Rehab	23027	\$1,500,000	12/01/12		
33	East Peoria	1857	Renew	3/26/12	STP #1 Headworks Upgrade	28576	\$1,665,000	02/20/13		
34	Elburn	3971	Renew	3/7/12	Excess Flow Improvements	62260	\$2,183,000	10/01/12		
35	Evanston	4775	Renew	3/29/12	Rehab Large Diameter Sewers	M580002	\$6,880,000	12/11/12		
36	Fairbury	4912	New	3/26/12	Lining Walnut Street Sewer	21601	\$400,000	08/04/13		
37	Fayetteville	3025	Renew	3/30/12	STP/Terminal L.S. Replace	20893	\$2,000,000	12/20/12		
38	Flagg Creek WRD (FCWRD)	4871	New	2/14/12	Tail Trees/Midwest L.S. Replacement	22586	\$2,668,000	11/01/12		
39	Flagg Creek WRD (FCWRD)	4963	New	3/29/12	Excess Flow Lagoon Expansion	22586	\$6,287,000	09/01/13		
40	Flossmoor	3973	Renew	3/26/12	Ph 4 Sewer Lining/Replace	400337	\$1,300,000	09/01/12		
41	Forest	4917	New	3/27/12	STP Upgrade	28819	\$1,700,000	08/04/13		
42	Forreston	4278	Renew	3/30/12	STP Expansion to 0.3 mgd	22721	\$2,600,000	12/01/13		

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43	Fox Lake	4703	Renew	3/16/12	Main L.S. Replacement	20958	\$960,000	12/15/12
44	Fox Metro WRD	3341	Renew	3/27/12	Ph 1 CS Interceptor Rehab	20818	\$2,500,000	04/01/13
45	Fox Metro WRD	3345	Renew	3/27/12	Ph 2 Montgomery/Sugar Grove Interceptor	20818	\$3,750,000	10/01/12
46	Fox Metro WRD	4854	Renew	3/27/12	Ph 2 WWTP Upgrade	20818	\$8,750,000	10/01/13
47	Freeburg	4944	New	3/29/12	North Trunk/Relief Sewer Extension	20753/32310	\$1,000,000	08/01/12
48	Freeport	4973	New	3/30/12	Phosphorus Removal	23591	\$13,000,000	08/01/13
49	Galva	4744	Renew	2/10/12	Sewer Repair, Rehab, Replacement	23647	\$2,960,000	02/01/13
50	Georgetown	3160	Renew	12/30/11	STP Upgrade/CSO LTCP	22322	\$5,640,000	11/01/12
51	Germantown Hills	4918	New	3/27/12	STP Capital Improvements	28916	\$1,619,000	07/01/13
52	Gilberts	2453	Renew	3/28/12	East Industrial Park Sewer	68764	\$535,000	03/01/13
53	Gilberts	2716	Renew	3/28/12	STP Expansion to 2.50 mgd	68764	\$23,000,000	06/01/13
54	Glen Carbon	4893	New	3/19/12	F.M. Connection to Granite City STP/Retention Basin	33481	\$9,500,000	05/01/14
55	Hamilton	4776	Renew	3/30/12	STP Upgrade	24911	\$2,000,000	07/01/13
56	Hickory Hills	4747	Renew	2/21/12	Sewer Rehab/ I/I Removal	28061	\$4,000,000	01/01/14
57	Hillcrest	2540	Renew	3/21/12	New Collection	30741	\$10,900,000	11/01/13
58	Hinckley	1421	Renew	2/29/12	STP Expansion	50628	\$4,675,000	10/01/12
59	Hinsdale	4656	Renew	3/29/12	Woodlands Sewer Separation	N/A	\$2,000,000	04/01/14
60	Hinsdale	4838	Renew	3/29/12	Walnut St. Sewer Separation	N/A	\$3,000,000	02/01/14
61	Hinsdale	4837	Renew	3/29/12	4th St. Sewer Separation	N/A	\$3,000,000	02/01/13
62	Hinsdale	4932	New	3/29/12	Ph 3 Woodlands Sewer Separation	N/A	\$2,500,000	04/01/16
63	Hinsdale	4933	New	3/29/12	Northern Hinsdale Sanitary Sewer Upgrade	22586	\$2,500,000	04/01/17
64	Hinsdale	4934	New	3/29/12	Sanitary Sewer Upgrade in Northern Hinsdale	22586	\$2,500,000	04/01/16
65	Homewood	4883	New	4/11/11	Homewood Third Addition Sewer Replacement	27723	\$8,700,000	12/01/11
66	Jacksonville	4748	Renew	3/31/12	CSO Outfall 4 Chlorination	21661	\$950,000	01/15/13
67	Jacksonville	2163	Renew	3/31/12	Grovel/Kosciusko St. CSO Relief	21661	\$800,000	03/15/13
68	Kankakee	4928	New	3/28/12	Stone St. L.S. Rehab	21784	\$3,540,000	08/01/13
69	Kirkland	3297	Renew	3/21/12	Sewer Rehab	64092	\$320,000	07/01/13
70	Lake County Dept of Public Works	4964	New	3/30/12	Excess Flow Facility Upgrade	20958	\$6,500,000	03/25/13
71	Lake County Dept of Public Works	4833	Renew	3/28/12	Ph 2 Des Plaines River STP Upgrade	22055	\$7,850,000	01/01/14
72	Lake County Dept of Public Works	4764	Renew	3/28/12	Diamond Sylvan Lake Diversion	22071	\$4,230,000	06/01/12
73	Lake County Dept of Public Works	4765	Renew	3/28/12	Portwine L.S./F.M.	22055	\$2,730,000	06/01/12
74	Lakes Region SD	4894	New	3/15/12	Everett Ave. Sewer Rehab	20958	\$365,000	08/15/13
75	Lakes Region SD	4895	New	3/15/12	Larkin Ln. Sewer Replacement	20958	\$181,500	03/15/13
76	Lakes Region SD	4896	New	3/15/12	Anderson L.S. Generator	20958	\$92,400	02/15/14
77	Lebanon	1038	Renew	3/23/12	New SBR Unit/Sludge Press	29483	\$7,563,000	04/01/13
78	Leland	1040	Renew	3/8/12	New STP/Collection	N/A	\$3,000,000	05/01/13
79	Lena	4441	Renew	3/30/12	Mechanical Bar Screen/Sludge Dewatering	24925	\$625,000	03/01/13
80	Mecomb	4965	New	3/31/12	Headworks Upgrade	29668	\$750,000	07/31/13
81	Mecomb	4966	New	3/31/12	L.S. Upgrade	29668	\$200,000	06/30/13
82	Malden	1041	Renew	2/27/12	STP Upgrade	24791	\$400,000	04/15/13
83	Maple Park	4707	Renew	3/15/12	New oxidation Ditch STP	70131	\$5,250,000	04/01/13
84	Marissa	4769	Renew	3/22/12	Sewer Lining/Replace	24813	\$2,110,000	03/01/13
85	Marselles	4770	Renew	3/29/12	I & M Canal/RR Sewer Replacement	21059	\$950,000	01/01/13

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86	Marselles	2124	Renew	3/29/12	Interstate 80 Sewer Ext.	21059	\$1,700,000	02/15/13
87	Marselles	4771	Renew	3/29/12	South System Aerator Replacement	21059	\$450,000	10/01/12
88	Maryville	4777	Renew	3/19/12	IL-159 L.S./Sewer Ext.	28215	\$460,000	09/15/13
89	Mason City	4967	New	3/31/12	STP CSO Facility	29815	\$4,510,000	02/01/13
90	Mattoon	4844	New	8/23/11	Replace Sand Filters	29831	\$4,021,000	12/01/12
91	Metro East SD	3165	Renew	3/19/12	54-inch Interceptor Rehab	33481	\$9,200,000	06/01/14
92	Metropolis	2866	New	3/19/12	Ph 1 Combined Sewer Separator/Sub-Area 5	29874	\$1,520,000	10/15/12
93	Metropolis	4897	New	3/19/12	STP Influent P.S. Upgrade	29874	\$500,000	10/15/12
94	Moline	4969	New	3/30/12	STP Upgrade	29947	\$15,000,000	09/01/13
95	Morrison	4974	New	3/16/12	Replace Genessee Ave. P.S.	27006	\$650,000	10/01/12
96	Morrison	3134	Renew	3/16/12	STP Upgrade	27006	\$13,100,000	11/01/12
97	Mound Public Water & Sewer District	4779	Renew	3/19/12	Sewer/L.S. Upgrade	28,215	\$200,000	01/01/14
98	Murphysboro	2746	Renew	3/29/12	STP Expansion/Upgrade	N/A	\$15,000,000	01/01/13
99	MWR/DGC	3076	Renew	3/27/12	Westside Primary Settling Tanks Aerated Grit Facility	28053	\$236,000,000	02/09/13
100	MWR/DGC	4711	Renew	3/27/12	North Shore 1 Rehab	28088	\$20,000,000	08/09/14
101	MWR/DGC	4922	New	3/27/12	Wet Weather Reservoir	28070	\$15,000,000	04/26/13
102	MWR/DGC	4923	New	3/27/12	Callumet TARP P.S. Improvements	28061	\$44,700,000	12/06/12
103	MWR/DGC	4924	New	3/27/12	West Weather Treatment Facility	28070	\$10,000,000	07/26/13
104	MWR/DGC	4925	New	3/27/12	TARP Control Structure Rehab/So. Park Control Structure Improvements	N/A	\$9,100,000	12/22/12
105	MWR/DGC	4926	New	3/27/12	Palos Hills P.S./F.M.	28061	\$5,000,000	01/09/13
106	MWR/DGC	4927	New	3/27/12	Upper Des Plaines Interceptor Sewer 11D Ext. C Rehab	36340	\$5,500,000	07/10/13
107	MWR/DGC	4988	New	3/30/12	Callumet Disinfection Facilities	28061	\$32,000,000	07/11/13
108	MWR/DGC	4989	New	3/31/12	North Side Disinfection Facilities	28061	\$77,000,000	07/11/13
109	Neoga	3418	Renew	3/31/12	STP Modifications/Upgrade	30091	\$2,429,000	08/01/13
110	New Athens	4683	Renew	3/22/12	New SBR/Headworks	21725	\$2,224,900	02/01/13
111	Newark SD	2899	Renew	2/21/12	STP Expansion to 0.285 MGD	G580153	\$6,000,000	05/01/13
112	Nokomis	4929	New	3/28/12	STP/L.S./Sewer Upgrade	20206	\$750,000	08/04/13
113	Olympia Fields	4898	New	3/16/12	Graymoor Sewer Lining	28061	\$2,500,000	11/01/12
114	Orient	4713	Renew	2/9/12	Pump Station Rehab	580272	\$310,000	05/01/13
115	Orion	4899	New	3/19/12	Relief Sewer Extension	24988	\$410,000	12/01/12
116	Palmyra	4781	Renew	3/21/12	Clean Lagoon/New Filter Media	G580177	\$1,022,000	04/01/14
117	Paxton	4665	Renew	3/29/12	STP Expansion/Filter Addition	23205	\$2,630,000	08/01/13
118	Pearl City	1648	Renew	3/30/12	Lagoon Upgrade	G58218	\$775,000	08/01/12
119	Pekin	3082	Renew	3/23/12	CSO LTCP	34495	\$13,000,000	10/01/17
120	Peru	4935	New	3/29/12	LTCP/Area #1	30660	\$4,000,000	04/01/13
121	Pinckneyville	2526	Renew	3/30/12	STP Replacement	21997	\$9,790,000	09/15/13
122	Pinckneyville	4782	Renew	3/30/12	East STP Repairs	71650	\$560,000	07/01/13
123	Pinckneyville	4783	Renew	3/30/12	Southwest P.S./F.M./Sewer	21997	\$1,500,000	05/01/13
124	Pittsfield	4884	New	1/24/12	STP Upgrade	30686	\$2,821,000	01/10/13
125	Plainfield	4785	Renew	3/29/12	Sewer Rehab	74373	\$1,996,000	07/01/13
126	Plainfield	4784	Renew	3/29/12	Drauden Rd. Sewer Ext.	74373	\$880,000	06/01/13
127	Plano	1034	Renew	3/19/12	Eldamain Rd. Interceptor	20052	\$1,800,000	01/01/13
128	River Forest	4930	New	3/28/12	New Relief Combined Sewer	28053	\$1,500	05/01/14

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129	Rochelle	4936	New	3/29/12	Water Re-Use F. M./Pump Equipment	30741	\$4,600,000	06/01/13
130	Rock Island	4872	New	2/21/12	LTCP Farnall Storage Tank	30783	\$3,800,000	10/01/12
131	Rock Island	4907	New	3/22/12	LTCP 6th Ave. Relief Sewer	30783	\$13,000,000	09/01/12
132	Rock River WRD	3201	Renew	2/14/12	Excess Flow Facility	27201	\$5,500,000	09/01/12
133	Rock River WRD	4715	Renew	2/14/12	2012/2013 Sewer Lining	27201	\$2,500,000	02/01/13
134	Rock River WRD	4870	New	2/14/12	Fuller Cr. Trunk to Winnepago	27201	\$20,400,000	10/01/12
135	Roselle	4970	New	3/31/12	STP Upgrade	30813	\$4,000,000	02/15/13
136	Rushville	4787	Renew	3/31/12	Replace Lagoon STP	21717	\$4,000,000	03/15/13
137	Rushville	2555	Renew	3/28/12	Various Sewer Rehabilitation	21717	\$500,000	03/15/13
138	Sanitary District of Decatur	4955	New	3/30/12	Main STP DAF Unit Replacement	28321	\$3,500,000	04/01/13
139	Sanitary District of Decatur	4956	New	3/30/12	Main STP West Primary Rehab	28321	\$3,870,000	05/01/13
140	Sanitary District of Decatur	4958	New	3/30/12	Sewer Lining/MH Rehab	28321	\$3,000,000	05/01/13
141	Sanitary District of Decatur	4959	New	3/30/12	Digester Mixer Replacement	28321	\$4,250,000	01/01/13
142	Sanitary District of Decatur	4962	New	3/30/12	Country Club Interceptor Rehab	28321	\$3,348,150	04/01/13
143	Savanna	3998	Renew	3/28/12	New STP/P. S. & F.M.	N/A	\$10,700,000	06/01/12
144	Sheridan SD	4789	Renew	3/30/12	Digester Roof/Clarifier Upgrade	31062	\$250,000	10/01/12
145	South Beloit	4971	New	3/31/12	Sewer/Manhole Rehab	21156	\$1,400,000	06/01/12
146	Spring Valley	0545	Renew	3/21/12	STP Upgrade	31216	\$8,000,000	07/01/14
147	St. Jacob	4377	Renew	3/27/12	Sewer Lining	G580212	\$300,000	11/01/12
148	Stanford	2259	Renew	3/20/12	New STP/Collection	N/A	\$6,250,000	05/11/211
149	Stillman Valley	3007	Renew	3/28/12	New STP/Interceptor Ext.	31275	\$6,000,000	01/01/13
150	Streator	3684	Renew	3/6/12	STP Upgrade	22004	\$1,000,000	03/01/13
151	Streator	3685	Renew	3/6/12	Combined Sewer Lining/New Sewer	N/A	\$2,850,000	03/01/13
152	Streator	4670	Renew	3/6/12	Pratke Storm Water Detention	22004	\$2,625,000	03/01/13
153	Sullivan	3563	Renew	3/27/12	STP Expansion	21806	\$2,800,000	10/01/12
154	Sycamore	3033	Renew	3/23/12	North STP Exp.	31291	\$8,000,000	06/15/13
155	Table Grove	4886	New	2/28/12	Sewer Lining/F. M./L. S. Replacement	G580040	\$1,009,644	04/01/13
156	Taylorville	4972	New	3/30/12	Ph 1 Northern Tr. Sewer	31356	\$1,600,000	02/18/13
157	Tonica	2432	Renew	3/23/12	New STP	23639	\$1,750,000	09/01/15
158	Trenton	4906	New	3/20/12	STP Expansion	26701	\$4,300,000	08/01/13
159	Walnut	4913	New	3/22/12	New Fine Screen/Blowers	31615	\$1,675,000	08/22/13
160	Washington	4845	Renew	3/12/12	STP Expansion Ph 2A	42412	\$4,500,000	03/01/13
161	West Frankfort	3001	Renew	3/29/12	STP Upgrade/Rehab	31704	\$8,500,000	04/01/14
162	Westchester	4937	New	3/29/12	Replace/Re-Route Sanitary Sewer	28053	\$2,356,000	03/25/13
163	Westville-Belgium SD	4919	New	3/27/12	2nd St. P. S. Upgrade/New F. M.	31721	\$264,000	08/01/13
164	Westville-Belgium SD	4920	New	3/27/12	STP Upgrade/Oxidation Ditch	31721	\$2,600,000	08/01/13
165	Wheaton SD	2953	Renew	3/23/12	Wet Weather Flow Mgmt.	31739	\$2,650,000	12/01/15
166	Wheaton SD	2954	Renew	3/23/12	Activated Sludge Upgrade	31739	\$760,000	02/15/15
167	Wheaton SD	2956	Renew	3/23/12	Northside Interceptor Replacement	31739	\$21,300,000	04/15/16
168	Wheaton SD	2957	Renew	3/23/12	Phosphorus Removal	31739	\$2,240,000	07/15/15
169	Wheaton SD	2958	Renew	3/23/12	Sludge Handling	31739	\$2,640,000	04/01/14
170	Wheaton SD	3050	Renew	3/23/12	Tomahawk L. S. Improvements	31,739	\$850,000	09/15/12
171	Wilmette	4887	New	3/9/12	2013 Relief Sewer Combined Sewers	28088	\$700,000	04/01/13

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172	Wilmette	4888	New	3/9/12	2013 Relief Sewer Separate Sanitary Sewers	28088	\$1,000,000	05/01/13
173	Wilmette	4889	New	3/9/12	2013 Sewer Lining	28088	\$900,000	12/01/12
174	Winchester	4986	New	3/31/12	Westside Relief Sewer	31801	\$850,000	03/15/13
175	Wood Dale	4901	New	3/19/12	2013 Sewer Rehab	20061	\$500,000	04/01/13
176	Wood Dale	4902	New	3/19/12	2014 Sewer Rehab	20061	\$500,000	04/01/14
177	Wood Dale	4903	New	3/19/12	2015 Sewer Rehab	20061	\$500,000	04/01/15
178	Wood Dale	4904	New	3/19/12	2016 Sewer Rehab	20061	\$500,000	04/01/16
179	Wood Dale	4905	New	3/19/12	2017 Sewer Rehab	20061	\$500,000	04/01/17
180	Wood Dale	2322	Renew	3/30/12	STP Upgrade	20061	\$15,600,000	03/01/13
181	Worth	4797	Renew	3/29/12	Sewer Repair/Replace	28061	\$1,000,000	12/01/12

Attachment B

Water Pollution Control Loan Program Draft FY2013 Intended Use Plan Reserved Funding Project List

Applicant/Project	Project Number L17	Priority Rank	Loan Amount Requested
<u>MWRDGC Service Area – Class A</u>			
Bartlett (Rehab Main Pump Station at STP)	4695	0008	2,500,000
MWRDGC (Stickney Sludge Upgrade Loan 4)	4559	0011	16,600,000
MWRDGC (Des Plaines River I.S. Rehab)	4621	0012	10,614,000
MWRDGC (N-Side Sludge Pipe Replacement)	4620	0013	18,000,000
MWRDGC (North Side 8 Int. Sewer Rehab)	4710	0014	8,520,000
MWRDGC (North Side WRP Aeration Tank 8)	4712	0015	8,300,000
Oak Forest (2009 Sewer Rehabilitation)	3434	0019	2,000,000
<u>MWRDGC Service Area – Class D</u>			
Riverdale (Northeast Sewer Separation)	3997	0003	6,901,989
Chicago (2012 Sewer Lining)	4863	0006	15,000,000
Evanston (Sewer Lining Emerson Davis Street)	4430	0008	3,756,775
<u>Remainder of the State Area – Class A</u>			
KRMA (WWTP Upgrade – Phase 1A)	4836	0001	3,274,000
KRMA (WWTP Upgrade – Phase 1B)	4868	0002	17,184,000
Tampico (STP Aeration/Market-Kimball St)	4619	0004	584,000
Buffalo Grove (Old STP/Cambridge L.S. Rehab)	4103	0009	531,000
Shawneetown (Pump Station Rehabilitation)	3326	0016	600,000
Cortland (Collection System & Plant Upgrades)	3796	0018	1,853,542
<u>Remainder of the State Area – Class B</u>			
Davis Junction (Sewer Extension, Lift Station)	3106	0001	3,660,000
Champaign (Sparton Court Unsewered Area)	4700	0002	200,000
Beardstown S.D. (Phase 2- STP Expansion)	4847	0003	2,000,000
Yorkville-Bristol S.D. (STP Expansion)	2760	0004	25,000,000
Glen Ellyn (Sewer Extension – Hill Avenue)	2895	0005	4,000,000
Shiloh (SS Install in 5 Subd. Exten.)	4143	0006	5,165,000
Freeburg (Phase I Trunk Sewer & Relief Sewer)	3647	0007	1,486,300
<u>Remainder of the State Area – Class D</u>			
Havana (CSO Separation)	2291	0001	5,000,000
Rock Island (LTCP Phase 3 Wet Weather WWTP)	4900	0004	24,671,016
Le Roy (Sewer Separation to Eliminate CSOs)	3030	0007	1,600,000

Attachment C
Water Pollution Control Loan Program
Allocation of Loan Funds for FY2013 IUP by Project Class

	<u>MWRDGC Service Area</u>	<u>Downstate</u>	<u>Total</u>
A) Service Continuation	72,150,000	24,820,000	96,970,000
B) Service Expansion	- 0 -	42,880,000	42,880,000
C) New Service	- 0 -	-0-	-0-
D) Combined Sewer Service	27,850,000	32,300,000	60,150,000
5% Cost Increase	<u>5,281,000</u>	<u>5,281,000</u>	<u>10,562,000</u>
Total	105,281,000	105,281,000	210,562,000

Attachment D

**Source of Loan Funds for FY2013
Water Pollution Control Loan Program Obligations
(October 1, 2012 – September 30, 2013)**

Source of Funds	<u>Qtr. 1</u>	<u>Qtr. 2</u>	<u>Qtr. 3</u>	<u>Qtr. 4</u>	<u>Total</u>
FY2013 WPCLP Capitalization	- 0 -	- 0 -	- 0 -	51,135,000	51,135,000
FY2013 State Match	- 0 -	- 0 -	- 0 -	10,227,000	10,227,000
FY2013 Repayments	149,200,000	- 0 -	- 0 -	- 0 -	149,200,000
Fund Equity Drawdown	-0-	- 0 -	- 0 -	- 0 -	-0-
FY2012 Carry Over	<u>- 0 -</u>	<u>- 0 -</u>	<u>- 0 -</u>	<u>- 0 -</u>	<u>- 0 -</u>
Total	149,200,000	- 0 -	- 0 -	61,362,000	210,562,000

\$2,045,400 (an amount equal to 4% of the capitalization grant) from Fund equity will be reserved for program administration in FY2013. This was factored into the calculation of the availability of \$149,200,000 in FY2013 repayments. The IEPA appropriated amount for program administration costs for the WPCLP in FY2013 is \$3,139,600.

Attachment E

Wastewater Project Priority List Glossary of Terms

The wastewater project priority list has letter designations that signify the type of project that has been scored:

- Category A projects are projects that satisfy existing wastewater needs and serve existing populations. They include sewage treatment plant renovations, including the rehabilitation, replacement and upgrade of treatment capabilities. Other projects are the rehabilitation and replacement of sewers to remove inflow and infiltration (I/I) and to maintain structural integrity or construction of relief sewers to transport existing flows in excess of the transport capabilities of the existing sewers. Finally, there are some Category A projects that are required to satisfy recognized combined sewer overflow compliance needs.
- Category B projects are projects that extend service or provide additional sewage treatment or transport capacity. These projects include the construction of additional sewage treatment plants or the expansion of existing sewage treatment plant capacities. They also include the extension of sewage collection systems and the construction of additional sewage transport capabilities.
- Category C projects are projects to provide an unsewered municipality with a sewage collection system and a sewage treatment plant or the connection to a regional sewage treatment facility.
- Category D projects are projects that provide additional combined sewage transportation and/or treatment, combined sewer separation projects or combined sewage detention projects.

Glossary of Wastewater Abbreviations

- | | | |
|---|-----------------|----------------------------|
| • | STP | Sewage treatment plant |
| • | CS | Combined Sewer |
| • | CSO | Combined Sewer Overflow |
| • | L S | Lift Station |
| • | LS & FM | Lift Station and Forcemain |
| • | Sludge Handling | Sludge Handling Facilities |
| • | Ext | Extension |
| • | Rehab | Rehabilitation |
| • | Exp | Expansion |