

## Federal Funding Accountability and Transparency Act Required Reporting

DUNS Number: \_\_\_\_\_  
 Recipient Name: \_\_\_\_\_  
 Name of Project: \_\_\_\_\_

**Requirement to report five most highly compensated officers:** When all three of the following conditions are met in the previous fiscal year, you must report the five most highly compensated officers of the entity.

- 1) The recipient received 80 percent or more of its annual gross revenues in Federal awards, and
- 2) The recipient received \$25,000,000 or more in annual gross revenue from Federal awards, and
- 3) The public does not have access to information about the compensation of the senior executives of the entity through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986 [ 26 USCS § 6104].

Check either box A or B below and complete the requested information.

**A)**  I certify that, in the preceding fiscal year \_\_\_\_\_, \_\_\_\_\_  
Period of Time , Recipient Name

1.  **DID NOT** receive 80 percent or more of its annual gross revenues in Federal awards, or
2.  **DID NOT** receive \$25,000,000 or more in annual gross revenue from Federal awards, or
3.  The public **DOES** have access to information about the compensation of the senior executives of the entity through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986 [ 26 USCS § 6104].

And, is therefore, not required to report the names and compensation of the five most highly compensated officers.

**B)**  The five most highly compensated officers of \_\_\_\_\_ are:  
Recipient Name

	Name	Compensation Amount*
1.	_____	_____
2.	_____	_____
3.	_____	_____
4.	_____	_____
5.	_____	_____

\*Compensation includes: (1) Salary and bonus. (2) Awards of stock, stock options, and stock appreciation rights. Use the dollar amount recognized for financial statement reporting purposes with respect to the fiscal year in accordance with FAS 123R. (3) Earnings for services under non-equity incentive plans. Does not include group life, health, hospitalization or medical reimbursement plans that do not discriminate in favor of executives, and are available generally to all salaried employees. (4) Change in present value of defined benefit and actuarial pension plans. (5) Above-market earnings on deferred compensation that is not tax-qualified. (6) Other compensation. For example: severance, termination payments, value of life insurance paid on behalf of the employee, perquisites or property if the value for the executive exceeds \$10,000.

Signature \_\_\_\_\_ Date \_\_\_\_\_

Title \_\_\_\_\_